



Small Business Certifications Handout

Federal – FHWA, FTA, FAA, EPA

Disadvantaged Business Enterprise (DBE)

- Women
- African Americans
- Hispanic Americans
- Native Americans
- Asian-Pacific Americans
- Sub-Continent Asian Americans
- 51% and daily business operations
- Personal Net Worth - Less Than \$1.32m
- Exclusions
- Business Size Standard
- Independence
- Management and Control

State – Caltrans, Some Local

Small Business Enterprise (SB)

- Office in CA
- Fewer than 100 Employees
- Gross Receipts - \$14m or less over 3 years
- Microbusiness – 25 or fewer employees and less than \$3.5m gross annual receipts
- 5% Bid Preference
- Eligible for State Small Business Program
- 25% Overall Program Goal
- Prompt Payment Interest (Public Contract Code Section 10261.5)
- SB/DVBE Option Program - \$5k - \$250k

State – Caltrans, Some Local

Small Business for the Purpose of Public Works (SB-PW)

- Be independently owned and operated
- Not dominant in field of operation
- Principal office located in California
- Owners (officers, if a corporation) domiciled in California
- Including affiliates, be either:
- Business with 200 or fewer employees; an average annual gross receipts of \$36 million OR less, over the last three tax years
Expands the opportunities for small businesses to compete in the public works arena

State - Disabled Veterans Business Enterprise (DVBE)

- 3% Overall State Goal
- SB/DVBE Option Program
- Certification never expires
- Caltrans contracting directly with SB/DVBE
- \$5,001.01 - \$249,999.99
- Bid lists
- Minimum 2 proposals submitted